

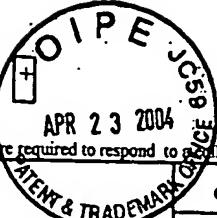
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Sheet	1	of	15	Attorney Docket Number	37000 CIP2 9906
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U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
K.G.		5,249,195		L.C. Feldman, et al.	09/28/1993	
K.G.		5,363,398		A.M. Glass, et al.	11/08/1994	
K.G.		5,527,712	A	T.M. Sheeny	06/18/1996	
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K.G.		4,828,758		G.E. Gillberg-LaForce, et al.	05/09/1989	
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K.G.		5,237,211		K.T. Carron, et al.	07/05/1994	
K.G.		5,405,710		A. Dodabalapur, et al.	04/11/1995	

FOREIGN PATENT DOCUMENTS

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				Application Number	10x661,319
				Filing Date	September 12, 2003
				First Named Inventor	Armstrong, et al.
				Group Art Unit	2877
				Examiner Name	
Sheet	2	of	15	Attorney Docket Number	37000 CIP2 9906

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K.G.		5,405,906		A.W. Olsen, et al.	04/11/1995	
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K.G.		5,853,464		I.A. Macpherson, et al.	12/29/1998	
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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
K.G.		EP	0 527 551	A1	Hitachi, Ltd.			17.02.1994
K.G.		WO	91/20078	A1	BASF Aktiengesellschaft			26.12.1991

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				Group Art Unit	2877
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
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K.G.		V. M. Shalaev, <i>Nonlinear Optics of Random Media: Fractal Composites and Metal-Dielectric Films</i> , Springer Tracts in Modern Physics, v.158, Springer, Berlin Heidelberg 2000.			
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Examiner Signature	<i>Karen G. S.</i>	Date Considered	1/06
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				Filing Date	September 12, 2003
				First Named Inventor	ARMSTRONG, et al.
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K.G.		V. A. Podolskiy, A. K. Sarychev, and Vladimir M. Shalaev, <u>Percolation Composites: Localization of Surface Plasmons and Enhanced Optical Nonlinearities, in Photonic Crystals and Light Localization in the 21st Century</u> , 567, ed. by C. M. Soukoulis (Kluwer Academic Publishers, 2001).	
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K.G.		V. M. Shalaev and Z. C. Ying, <u>Nonlinear Optics of Surfaces</u> , <i>Encyclopedia of Materials: Science and Technology</i> , ed. by D. D. Nolte (Pergamon, Amsterdam 2000), article 6.8.13	
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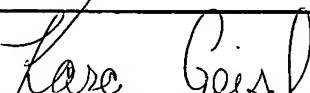
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Sheet	6	of	15	Filing Date	September 12, 2003
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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K.G.		S. Gresillon, L. Aigouy, A. C. Boccara, J. C. Rivoal, X. Quelin, C. Desmarest, P. Gadenne, V. A. Shubin, A. K. Sarychev, and V. M. Shalaev, Experimental Observation of Localized Optical Excitations in Random Metal-Dielectric Films, Phys. Rev. Lett. 82, 4520 (1999).	
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				Filing Date	September 12, 2003
				First Named Inventor	ARMSTRONG, et al.
				Group Art Unit	2877
				Examiner Name	
Sheet	7	of	15	Attorney Docket Number	37000 CIP2 9906

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
K.G.		S. I. Bozhevolyi, V. A. Markel, V. Coello, W. Kim, and V. M. Shalaev, Direct Observation of Localized Excitations on Rough Nanostructured Surfaces, Phys. Rev. B 58, 11441 (1998).	
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K.G.		Vladimir M. Shalaev, Mark I. Stockman, and R. Botet, Resonant excitations and nonlinear optics of fractals, <i>Physica A</i> 185, 181 (1992).	
K.G.		Vladimir M. Shalaev, R. Botet, and R. Jullien, Erratum: Resonant light scattering by fractal clusters, <i>Phys. Rev. B</i> 45, 7592. (1992).	
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Examiner Signature	<i>Kara Guse</i>	Date Considered	10/6
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K.G.		R. L. Armstrong, V. P. Safonov, N. N. Lepeshkin, W. Kim, and V. M. Shalaev, Giant optical nonlinearities of fractal colloid aggregates, SPIE, San Diego, p. 107, 1997	
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K.G.		V.M. Shalaev, M.I. Stockman, Optical properties of fractal clusters, Proc. 3-nd Int. Conf.Trends in Quantum Electronics., Romania, 201 (1988).	
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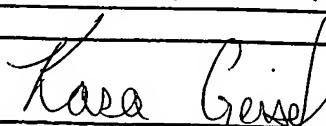
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K.G.		BISWAS, A., et al., "Time-Resolved Spectroscopy of Laser Emission from Dye-doped Droplets," <i>Optics Letters</i> , Vol. 14, No. 4, pp 214-216 (February 15, 1989)	
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K.G.		MARKEL, V.A., "Theory and Numerical Simulation of Optical Properties of Fractal Clusters," <i>Phys. Rev. B</i> , Vol. 43, No. 10, pp 8183-8195 (April 1, 1991)	T ²
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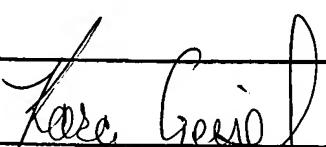
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				Examiner Name	
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K.G.		SHALAEV, V.M., "Nonlinear Optical Phenomena in Nanostructured Fractal Materials," <i>J. Nonlinear Op. Phys. & Mat.</i> , vol. 7, No. 1, pp 131-152 (1998)	
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K.G.		BERGER, A.J., et al., "Feasibility of Measuring Blood Glucose Concentration by Near-Infrared Raman Spectroscopy," <i>Spectrochimica Acta Part A</i> Vol.53, pp 287-292 (1997)
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K.G.		WEBER, W.H., "Raman Scattering Becomes More Accessible," <i>Amer Inst of Phys.</i> pp 12/14 (Oct 2000)

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K.G.		BURSTEIN, E., et al., Editors, CONFINED ELECTRONS AND PHOTONS, Textbook published by Plenum Press (NY and London), from Proceedings of a NATO Advanced Study Institute on Confined Electrons and Photons: New Physics and Applications, held July 13-26, 1993, Erice, Italy (1995 Plenum Press New York)	
		ARMSTRONG, R.L., "Nonlinear Optical Effects in Microcylinders and Microdroplets," TEXTBOOK, r.k. Chang and A.J. Campillo (eds); Optical Processes in Microcavities (1996) World Scientific, Singapore pp 257-283	
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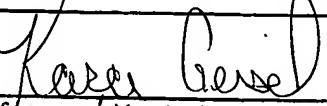
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K.G.		ARNOLD, S., et al., "Room-Temperature Microparticle-Based Persistent Spectral Hole Burning Memory," <i>Optics Letters</i> , Vol. 16, No. 6 pp 420-422 (March 16, 1991)	
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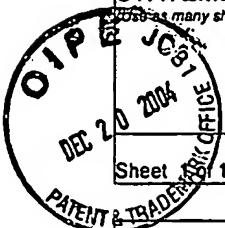
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<p>Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(Use as many sheets as necessary)</small></p> <p>Sheet 1 of 1</p>		<i>Complete if Known</i>	
		Application Number	10/661,319
		Filing Date	September 12, 2003
		First Named Inventor	Armstrong, Robert
		Group Art Unit	2877
		Examiner Name	Unknown
Attorney Docket No: NMSUT-Armstrong CIP2			



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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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